

Letter of Intent  
to Apply for  
2016  
Community Investment  
Funding

**ADVANCING EDUCATION  
INCOME AND HEALTH**

Heart of Kentucky  
United Way



## 2016 Intent to Apply: Agency Information & Qualification Responses

Agency Name:

Executive Director Name:

Agency Address:

Agency Phone:

### Qualification Responses

Your organization is recognized by the Internal Revenue Service as an organization to whom contributions are tax deductible under section 501©3. The organization is one to which an individual may donate assets and receive a deduction for: (a) income; (b) gift taxes; or (c) estate tax purposes.

Most recently completed fiscal year ended on \_\_\_\_\_ (month/day), 2014.

Indicate which document you will submit as proof of fiscal responsibility:

For Annual Agency Budgets of \$50,000 and below, agencies must submit a financial compilation. For

Annual Agency Budgets between \$50,001 and \$100,000, agencies must submit a copy of their IRS 990.

For Annual Agency Budgets between \$100,001 and \$200,000, agencies must submit a copy of their Financial Review.

For Annual Agency Budgets above \$200,001, agencies must submit a copy of their Financial Audit.

If Fiscal Year concludes prior to 9/30/2014, financial documentation is due with application.

If Fiscal Year concludes between 10/1/2014 and 12/31/2014, financial documentation must be submitted to HKUW by June 30, 2015. Submit FY 2013 financial documentation with application.

**All agencies with budgets over \$50,001 are required to submit thier most recent 990.**

You propose to offer services locally to residents of Boyle, Garrard, Lincoln and/or Mercer County.

**2014 Intent to Apply: Program Overview**

Agency:

Program Name:

Request Amount:

Primary Contact:

Primary E-Mail:

Name of Chair/President of Board of Directors:

Mailing Address for Board Chair/President (must be different from agency address):

For the 2014 Calendar Year, did your agency:

Conduct a campaign for HKUW among staff?

Conduct a campaign for HKUW among board members?

Conduct a campaign for HKUW among volunteers?

Briefly describe the program requesting funding, including the goal and services.

County or counties in which Heart of Kentucky United Way funding will support services:

	Boyle		Garrard
	Lincoln		Mercer
	OTHER (list):		

## Goal, Priority & Strategy

Indicate which Goal, Priority & Strategy with which the Program requesting funding aligns.  
**For each strategy selected, partners must submit bi-annual outcome measurement reports.**

### **EDUCATION (1): Children enter school ready to learn and succeed.**

#### **Increase Access to Quality Preschool.**

Provide early childhood programming that prepares children to succeed in school and life

*UNIQUE PROGRAM STRATEGY:*

#### **Increase Parent's understanding, confidence, and skills in helping children prepare for school.**

Offer parent to parent mentoring classes

Increase parent engagement in and understanding of early child development

Extent parenting education to workplace, health care providers, neighborhoods, churches and schools

*UNIQUE PROGRAM STRATEGY:*

#### **Increase public awareness and support for investing in early childhood education.**

*UNIQUE PROGRAM STRATEGY:*

### **EDUCATION (2): Youth complete high school ready for college, career, and/or life.**

#### **Ensure all children are on grade level in reading and math by third grade.**

*UNIQUE PROGRAM STRATEGY:*

#### **Connect students with the support and skills they need to succeed in school and life.**

Increase parent and youth engagement in and understanding of college and career readiness

Provide after school programming that develops life skills, including but not limited to problem solving, coping, self-care, and communication

Provide youth with knowledge and skills required in the workplace

Increase youth access to a caring adult role model

*UNIQUE PROGRAM STRATEGY:*

#### **Equip parents and supportive adults with the knowledge and skills to prepare children to succeed in school and life.**

Increase public awareness and support for investing in early childhood education

Extend parenting education (mentors, workshops, classes) to workplace, neighborhoods, churches, schools

*UNIQUE PROGRAM STRATEGY:*

**INCOME: Families have adequate income and assets to independently meet their families' financial needs.**

**Decrease barriers to employment and higher education.**

Increase employability of individuals through education of regional skills required for the regional employment opportunities

Support micro-enterprise training and creation

*UNIQUE PROGRAM STRATEGY:*

**Increase ability to manage personal finances effectively.**

Support individuals and families in establishing budgeting and banking skills

*UNIQUE PROGRAM STRATEGY:*

**Help people in crisis become self-sufficient.**

Increase access to and coordination between self-sufficiency services and individuals/families

Connect families and individuals in crisis to housing, food, health care and transportation

*UNIQUE PROGRAM STRATEGY:*

**HEALTH: Individuals and families are healthier.**

**Pursue and promote safe, healthy living and lifestyle for children.**

Increase access to healthy food and physical activities

Increase individuals' and families' engagement in and understanding of health

Improve individuals' and families' healthy behaviors

Ensure individuals and families are safe

*UNIQUE PROGRAM STRATEGY:*

**Pursue and promote safe, healthy living and lifestyle for adults.**

Increase access to healthy food and physical activities

Increase individuals' and families' engagement in and understanding of health

Improve individuals' and families' healthy behaviors

Ensure individuals and families are safe

*UNIQUE PROGRAM STRATEGY:*

**Increase access and coordination of affordable health care services for all.**

Establish place-based wellness coalitions (schools, churches, neighborhoods) to educate and motivate healthy choices

Increase access to preventative health services

*UNIQUE PROGRAM STRATEGY:*

## Counter Terrorism Compliance

In compliance with the spirit and intent of the USA PATRIOT Act and other counter terrorism laws, Heart of Kentucky United Way requests that each funded partner (“organization”) certify that it is in compliance with Heart of Kentucky United Way and the United Way of America’s (“UWA”) compliance program.

- This organization is not on any federal terrorism “watch lists,” including the list in Executive Order 13224, the master list of specially designated nationals and blocked persons maintained by the Treasury Department, and the list of Foreign Terrorist Organizations maintained by the State Department.
- This Organization does not, will not and has not knowingly provided financial, technical, in-kind or other material support of resources\* to any individual or entity that is a terrorist or terrorist organization, or that supports or funds terrorism.
- This Organization does not, will not and has not knowingly provided or collected funds or provided material support or resources with the intention that such funds or material support or resources be used to carry out acts of terrorism.
- This Organization does not, will not and now knowingly provided financial or material support or resources to any entity that has knowingly concealed the source of funds used to carry out terrorism or to support Foreign Terrorist Organizations.
- This Organization does not re-grant to organizations, individuals, programs and/or projects outside of the United States of America without compliance with IRS guidelines.
- This Organization takes reasonable, affirmative steps to ensure that any funds or resources distributed or processed do not fund terrorism or terrorist organizations.
- This Organization takes reasonable steps to certify against fraud with respect to the provision of financial, technical, in-kind or other material support or resources to terrorists and terrorist organizations.

\* In this form, “material support and resources” means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

**I certify on behalf of the Organization listed above that the foregoing is true.**

**Print name:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_